

#3

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/780,114

02/09/2001

Tsutomu Nobori

02307O-103031US

CONFIRMATION NO. 8926

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER
OC000000005992362

Date Mailed: 04/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/28/2001 EABUBAK1 00000053 201430

09780114

FILED UNDER 37 CFR 1.53(b)

65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821 (g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR

09/2**0**05

54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

• A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825 (b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign (+) incide this hov

ScSor \$ PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box —> + Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

	- No. (1)					
TRANSMITTAL SEP 2 7 2001 FORM (to be used for all correspondence after initial filing)		Application Number		09/780;114		
		Filing Date		February 9, 2001		
		First	Named Inventor	Nobori,	Tsutomu	
		Group	Art Unit			
# MADEMARK OF		Examiner Name				
Total Number of Pages in This Submission 2		Attorney Docket Number		02307O103031		
ENCLOSURES (check all that apply)						
Fee Transmittal Form		Assignment Papers (for an Application)		After Allowance Communication to Group		
Fee Attached	☑ Drawing	☑ Drawing(s)			al Communication to Board of als and Interferences	
Amendment / Response	1=	Licensing-related Papers			al Communication to Group al Notice, Brief, Reply Brief)	
After Final	and Ac	Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Propri	ietary Information	
Affidavits/declaration(s)	, —	Petition to Convert to a Provisional Application		Status	s Letter	
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address			r Enclosure(s) e identify below):	
Express Abandonment Reques	st 💳	☐ Terminal Disclaimer ☐ Request for Refund		Three executed inventor Declaration documents, Application Data Sheet; Three Sheets of Substitute Drawing (Figure 1); Return Postcard		
Information Disclosure Stateme	ent 🔲 CD, Nu	CD, Number of CD(s)				
Certified Copy of Priority Document(s)	Remar				o charge any additional fees to	
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53		·				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Townsend and Townsend and Crew LLP						
and Reg No. 34,774						
Signature						
Date September	20, 2001	01				
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:						
Typed or printed name Jill R. Clarke						
Signature QULC. Claud		ilie		Date	September 20, 2001	

Burden Hour Statement: This form's estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.